



SONATA Finance Private Limited

2nd Floor, CP-1, PG Towers, Kursi Road, Vikas Nagar,
Lucknow – 226026, Uttar Pradesh
CIN: U65921UP1995PTC035286
Contact No : 0522-4005729
Email: info@sonataindia.com
Website: www.sonataindia.com

Ref: SEBI/BSE/2022-23/03

Date: April 05, 2022

Scrip Code: 956751, 960230, 973075, 973102, 973590, 973591, 973619, 973643, 973670 and 960086*

To,
The Manager
Listing Department
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400001

Subject: Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”)

Dear Sir/Madam,

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the compliance certificate duly signed by the Secretary of the company and the authorised representative of our Registrar and Share transfer agent (RTA) certifying compliance with the requirements of Regulation 7(3) for the financial year ended March 31, 2022.

Request you to kindly take the same on your record.

Thanking you.

For Sonata Finance Private Limited

Pauravi
Srivastava

Digitally signed by
Pauravi Srivastava
Date: 2022.04.05
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Paurvi Srivastava
Company Secretary

** Securities in Scrip Code 960086 under ISIN INE509M07212 are fully redeemed on March 25, 2022.*

COMPLIANCE CERTIFICATE

[Pursuant to Regulation 7(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015]

We, the undersigned being the Secretary of the Company and Authorised Representative of the Registrar & Share Transfer Agent do hereby certify that M/s Sonata Finance Private Limited having its registered office at II Floor, CP-1, PG Tower, Kursi Road, Vikas Nagar, Lucknow-226022 has appointed M/s NSDL Database Management Limited (Registered with SEBI having registration No. INR000004181) having its Registered Office at 4th Floor, Trade World A Wing, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai-400 013, as its Registrar & Share Transfer Agent for effecting transfer of its Debt Securities.

Further, NSDL Database Management Limited as a Registrar & Share Transfer Agent has properly conducted all the activities in relation to electronic transfer facilities of the debt securities of M/s Sonata Finance Private Limited from the date of its appointment as prescribed under Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, during the financial year from April 01, 2021 to March 31, 2022.

In our opinion & to the best of our knowledge & information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to the RTA.

For Sonata Finance Private Limited

Pauravi
Srivastava

Digitally signed by
Pauravi Srivastava
Date: 2022.04.02
11:05:51 +05'30'

Paurvi Srivastava
Company Secretary

Date: April 02, 2022

For NSDL Database Management Limited

NILESH RAJARAM
BHANDARE

Digitally signed by NILESH
RAJARAM BHANDARE
Date: 2022.04.04 18:59:52
+05'30'

Authorised Representative

Name: Nilesh Bhandare

Place:

Date: April 04, 2022